



# A Class of Ratio Cum Dual to Ratio Estimator in Presence of Non-Response Using Two Phase Sampling Scheme

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## ABSTRACT

In the present paper, we have proposed a class of ratio cum dual to ratio estimator in double sampling scheme to estimate the population mean of the study variable  $y$  in two different cases of non-response. The bias and mean square error (MSE) of the proposed class have been obtained for both the cases of non-response. The asymptotically optimum estimator (AOE) of it has also been obtained along with its bias and MSE. Comparisons of the class have been made with the mean per unit estimator and estimators belonging to it theoretically and numerically to demonstrate the superiority of the proposed estimator over them.

**Keywords:** *Ratio, Dual to ratio, Non-response, Bias, Mean square error, Asymptotically optimum estimator (AOE), Efficiency.*

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## 1. INTRODUCTION

In many human surveys, information is in most cases not obtained from all the units in the surveys even after some call-backs. An estimate obtained from such incomplete data may be misleading and biased. Hansen and Hurwitz (1946) proposed a technique for adjusting

the non-response to address the bias problem. Their idea is to take a sub-sample from the non-respondents to get an estimate for the sub-population represented by the non-respondents. In estimating population parameters such as the mean, total or ratio, sample survey experts

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sometimes use auxiliary information to improve precision of the estimates. Sodipo (2007) have considered the problem of estimating the population mean in presence of non response with full response of an auxiliary character  $x$ . Other authors such as Bouza (2010), Cochran (1977), Khare (1993, 1995, 1997), Okafor (2000), Rao (1986), Singh (2010, 2008), Singh (2009), Tabasum (2006, 2004), Agarwal et.al. (2012) and Riaz et.al. (2014) have studied the problem of non-response under double sampling scheme.

Let us assume a finite population of  $N$  distinct units. Let  $y$  and  $x$  be the study and auxiliary variables having values  $y_i$  and  $x_i, i = 1, 2, \dots, N$ . Let  $x$  is correlated with  $y$  and is used to estimate the unknown population mean  $\bar{Y}$ . When the mean of the auxiliary variable  $x$  is available, the ratio, product and regression estimators are used to increase the efficiency of the estimates of  $\bar{Y}$ . When  $\bar{X}$  unknown, two-phase sampling scheme is used, the first phase estimates  $\bar{X}$  and the second phase is devoted for the estimation of  $\bar{Y}$ .

Let a large sample of size  $n'$  ( $n' < N$ ) is drawn by simple random sampling without replacement (SRSWOR) to collect information on the auxiliary variable  $X$ . It is assumed that all  $n'$  units provide complete information on  $X$ . In the second phase, a smaller sample of size  $n$  of  $n'$  units ( $n < n'$ ) is drawn by SRSWOR for obtaining information of the study variable  $y$ . Suppose the non-response is present in second phase, in this situation a subset of size  $n_1$  supplies information on  $Y$  and the remaining  $n_2 = n - n_1$  units are non-respondents. Following the technique of Hansen and Hurwitz (1946), a sub-sample of size  $r = \frac{n_2}{k}$ ;  $k > 1$  is selected from the  $n_2$  non-responded units where  $r$  would be a rounded off integer and  $k$  is the inverse sampling rate at the second phase sample of size  $n$ . Assuming that all  $r$  selected units show full response on second call. Consequently, the whole population is said to be stratified into two strata of size  $N_1$  that would give response on first call and of size  $N_2$  which would not respond on first call but will cooperate on the second call.

An unbiased estimator for the population mean  $\bar{Y}$  of the study variable  $y$  proposed by Hansen and Hurwitz (1946), when non-response occurs on  $y$  is defined by

$$\bar{y}^* = w_1 \bar{y}_1 + w_2 \bar{y}_{2r}$$

where,  $w_1 = n_1 / n$  and  $w_2 = n_2 / n$ .

The variance of  $\bar{y}^*$  is given by

$$Var(\bar{y}^*) = \left(\frac{1-f}{n}\right) S_y^2 + \frac{W_2(k-1)}{n} S_{2y}^2 \quad (1)$$

where  $f = n / N, S_y^2 = \sum_{i=1}^N (y_i - \bar{Y})^2 / (N - 1),$

$$S_{2y}^2 = \sum_{i=1}^{N_2} (y_i - \bar{Y}_2)^2 / (N_2 - 1),$$

$$\bar{Y} = \sum_{i=1}^N y_i / N, \bar{Y}_2 = \sum_{i=1}^{N_2} y_i / N_2,$$

$$W_2 = N_2 / N, \bar{y}_1 = \sum_{i=1}^{n_1} y_i / n_1,$$

$$\bar{y}_{2r} = \sum_{i=1}^r y_i / r, N_1 \text{ and } N_2 (= N - N_1) \text{ are the}$$

sizes of the responding and non-responding units from the finite population  $N$ .

It is well known that in estimating the population mean  $\bar{Y}$ , sample surveys experts sometimes use auxiliary information to improve the precision of the estimates. Let  $x$  denote an auxiliary variable with

population mean  $\bar{X} = \sum_{i=1}^N x_i / N$ . Let

$$\bar{X}_1 = \sum_{i=1}^{N_1} x_i / N_1 \text{ and } \bar{X}_2 = \sum_{i=1}^{N_2} x_i / N_2 \text{ denotes}$$

the population means of the response and non-response groups. Let  $\bar{x} = \sum_{i=1}^n x_i / n$  denotes the mean of all  $n$

$$\text{units, } \bar{x}_1 = \sum_{i=1}^{n_1} x_i / n_1 \text{ and } \bar{x}_2 = \sum_{i=1}^{n_2} x_i / n_2 \text{ denotes}$$

the means of the  $n_1$  responding units and  $n_2$  non-

responding units. Further,  $\bar{x}_{2r} = \sum_{i=1}^r x_i / r$  denotes the

mean of the  $r (= \frac{n_2}{k}, k > 1)$  sub sampled units.

We define an unbiased estimator of population mean  $\bar{X}$  when non-response occur on  $x$  as

$$\bar{x}^* = w_1 \bar{x}_1 + w_2 \bar{x}_{2r}$$

The variance of  $\bar{x}^*$  is given by

$$Var(\bar{x}^*) = \left(\frac{1-f}{n}\right) S_x^2 + \frac{W_2(k-1)}{n} S_{2x}^2$$

where  $S_x^2 = \sum_{i=1}^N (x_i - \bar{X})^2 / (N-1)$ ,

$$S_{2x}^2 = \sum_{i=1}^{N_2} (x_i - \bar{X}_2)^2 / (N_2 - 1)$$

Using the transformation

$$\bar{x}_i^\sigma = (N\bar{X} - nx_i) / (N - n),$$

$i = (1, 2, 3, \dots, N)$ , Srivenkataramana(1980)

obtained dual to ratio estimator as

$$\bar{y}_{dR} = \bar{y} \left( \frac{\bar{x}^\sigma}{\bar{X}} \right)$$

where  $\bar{x}^\sigma = (N\bar{X} - n\bar{x}) / (N - n)$

Using the transformation

$$\bar{x}_i^* = (n'\bar{x}' - n\bar{x}_i) / (n' - n), (i = 1, 2, \dots, N),$$

Kumar (2006) obtained dual to ratio estimator in double sampling as

$$\bar{y}_{dR}^d = \bar{y} \frac{\bar{x}^*}{\bar{x}'}$$

where  $\bar{x}^* = (n'\bar{x}' - n\bar{x}) / (n' - n)$  is unbiased estimator of  $\bar{X}$  and  $corr(\bar{y}, \bar{x}^*) = (-)ve$ .

Chanu and Singh (2012) suggested an efficient class of double sampling dual to ratio estimators in sample surveys as

$$\bar{y}_{dR}^{d\alpha} = \bar{y} \left[ \frac{\bar{x}^{*\sigma}}{\bar{x}'} \right]^\alpha$$

where  $\bar{x}^{*\sigma} = (n'\bar{x}' - n\bar{x}) / (n' - n)$  and

$$\bar{x}' = \sum_{i=1}^{n'} x_i / n'$$

Sharma (2010) suggested a new ratio cum dual to ratio estimator in simple random sampling as

$$\bar{y}_{bk1} = \bar{y} \left[ \alpha \frac{\bar{X}}{\bar{x}} + (1 - \alpha) \frac{\bar{x}^\sigma}{\bar{X}} \right] \tag{2}$$

Chanu and Singh (2015) studied Sharma (2010) estimator in presence of non-response. In the present paper, we have studied Chanu and Singh (2015) estimator in two phase sampling scheme. The expressions of bias and mean square error have been obtained to the first degree of approximation. Comparisons of the proposed estimator have been carried out with the usual unbiased estimator and other estimators under the class. An empirical study is presented to judge the performance of the proposed class of estimators.

**2. THE PROPOSED ESTIMATOR**

In this section, utilizing information on the auxiliary variable  $x$  with unknown population mean  $\bar{X}$ , we will study Chanu and Singh (2015) estimator in double sampling scheme for the population mean  $\bar{Y}$  in two different situations of non-response.

The following two cases will be considered separately.

**Case I:** When non-response occurs only on  $y$ .

**Case II:** When non-response occurs on both  $y$  and  $x$ .

**2.1 Case I: Non-response only on  $y$**

We define Chanu and Singh (2015) estimator for  $\bar{Y}$  in the presence of non response as

$$\left( \bar{y}_{RdR}^{d*} \right)_I = \bar{y}^* \left[ \alpha_1 \frac{\bar{x}'}{\bar{x}} + (1 - \alpha_1) \frac{n'\bar{x}' - n\bar{x}}{(n' - n)\bar{x}'} \right]. \tag{3}$$

where  $\alpha_1$  is a scalar constant.

To obtain the bias and MSE of  $\left( \bar{y}_{RdR}^{d*} \right)_I$ , we write

$$e_0^* = (\bar{y}^* - \bar{Y}) / \bar{Y}, \quad e_1 = (\bar{x} - \bar{X}) / \bar{X}$$

and  $e_2 = (\bar{x}' - \bar{X}) / \bar{X}$ .

Expressing  $\left( \bar{y}_{RdR}^{d*} \right)_I$  in terms of  $e$ 's, we obtain

$$\left( \bar{y}_{RdR}^{d*} \right)_I = \bar{Y} (1 + e_0^*) \left[ \alpha_1 (1 + e_2) (1 + e_1)^{-1} \right.$$

$$\left. + (1 - \alpha_1) \left\{ (1 + h) - h(1 + e_1)(1 + e_2)^{-1} \right\} \right]$$

where  $h = \frac{n}{n' - n}$ .

Assuming the sample size to be large enough, so that  $|e_1| < 1$  and  $|e_2| < 1$ , therefore  $(1 + e_1)^{-1}$  and  $(1 + e_2)^{-1}$  are expandable in Taylor series.

Expanding the right hand side of the above equation, multiplying out and retaining terms of  $e$ 's up to second degree, we obtain

$$\begin{aligned} (\bar{y}_{RdR}^{d*})_I - \bar{Y} &\cong \bar{Y} \left[ e_0^* - h(e_1 - e_2 + e_2^2 - e_1 e_2 + e_0^* e_1 - e_0^* e_2) \right. \\ &+ \alpha_1 \left\{ (1-h)e_2 - (1-h)e_1 + e_1^2 + h e_2^2 - (1+h)e_1 e_2 \right. \\ &\left. \left. - (1-h)e_0^* e_1 + (1-h)e_0^* e_2 \right\} \right] \quad (4) \end{aligned}$$

To obtain the bias and MSE of  $(\bar{y}_{RdR}^{d*})_I$ , the following notations are used

$$C_y^2 = S_y^2 / \bar{Y}^2 \quad C_x^2 = S_x^2 / \bar{X}^2 \quad \text{and} \quad \rho = S_{xy} / S_y S_x$$

where  $C_y$  and  $C_x$  are the coefficients of variation of the study variable  $y$  and the auxiliary variable  $x$  respectively.

$$S_y^2 = \frac{1}{N-1} \sum_{i=1}^N (y_i - \bar{Y})^2 \quad \text{and}$$

$$S_x^2 = \frac{1}{N-1} \sum_{i=1}^N (x_i - \bar{X})^2 \quad \text{are population variances of study variable } y \text{ and auxiliary variable } x \text{ respectively and}$$

$$S_{xy} = \frac{1}{N-1} \sum_{i=1}^N (x_i - \bar{X})(y_i - \bar{Y}) \quad \text{is the covariance between } y \text{ and } x.$$

### 3. BIAS, MSE AND OPTIMUM VALUE OF $(\bar{y}_{RdR}^{d*})_I$ IN CASE I

In this case, we have

$$E(e_0^*) = E(e_1) = E(e_2) = 0$$

$$E(e_0^{*2}) = \left( \frac{1}{n} - \frac{1}{N} \right) C_y^2 + \frac{W_2(k-1)}{n} C_{2y}^2,$$

$$E(e_1^2) = \left( \frac{1}{n} - \frac{1}{N} \right) C_x^2, \quad E(e_2^2) = \left( \frac{1}{n'} - \frac{1}{N} \right) C_x^2,$$

$$E(e_0^* e_1) = \left( \frac{1}{n} - \frac{1}{N} \right) C_x^2 K_{yx},$$

$$E(e_0^* e_2) = \left( \frac{1}{n'} - \frac{1}{N} \right) C_x^2 K_{yx}$$

$$\text{and} \quad E(e_1 e_2) = \left( \frac{1}{n'} - \frac{1}{N} \right) C_x^2 \quad (5)$$

$$\text{where } K_{yx} = \rho_{yx} \frac{C_y}{C_x}.$$

Taking expectations on both the sides of (4) and using the results of (5), we get the bias of the estimator  $(\bar{y}_{RdR}^{d*})_I$  to the first degree of approximation as

$$B(\bar{y}_{RdR}^{d*})_I = \bar{Y} \left[ \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 \left[ -hK_{yx} + \alpha_1 \{ 1 - (1-h)K_{yx} \} \right] \right] \quad (6)$$

The bias in (6) is zero, when

$$\alpha_1 = \frac{hK_{yx}}{1 - (1-h)K_{yx}}$$

Squaring and taking expectations on both the sides of (4) and using the results of (5), we obtain the MSE of  $(\bar{y}_{RdR}^{d*})_I$  up to the first degree of approximation as

$$\begin{aligned} MSE(\bar{y}_{RdR}^{d*})_I &= \bar{Y}^2 \left[ \left( \frac{1}{n} - \frac{1}{N} \right) C_y^2 + \frac{W_2(k-1)}{n} C_{2y}^2 \right. \\ &+ \alpha_1^2 (1-h)^2 \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 \\ &+ 2h\alpha_1 (1-h) \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 \left\{ 1 - \frac{1}{h} K_{yx} \right\} \\ &\left. - 2h \left( \frac{1}{n} - \frac{1}{n'} \right) K_{yx} C_x^2 + h^2 \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 \right] \quad (7) \end{aligned}$$

Minimizing (7) with respect to  $\alpha$  yields its optimum value

$$\alpha_1 = \frac{K_{yx} - h}{1 - h} = \alpha_{1(opt)} \quad (\text{say}) \quad (8)$$

Substituting the value of  $\alpha_1$  from (8) in (3) gives the asymptotically optimum estimator (AOE) as

$$(\bar{y}_{RdR}^{d*})_{I(opt)} = \bar{y}^* \left[ \alpha_{1(opt)} \frac{\bar{x}'}{x} + (1 - \alpha_{1(opt)}) \frac{n' \bar{x}' - n \bar{x}}{(n' - n) \bar{x}'} \right] \quad (9)$$

Therefore, the resulting bias and MSE of  $(\bar{y}_{RdR}^{d*})_{I(opt)}$  are

$$B(\bar{y}_{RdR}^{d*})_{I(opt)} = \bar{Y} \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 \left[ \frac{K_{yx} - h}{1-h} - K_{yx}^2 \right]$$

and

$$MSE(\bar{y}_{RdR}^{d*})_{I(opt)} = \bar{Y}^2 \left[ \left( \frac{1}{n} - \frac{1}{N} \right) C_y^2 + \frac{W_2(k-1)}{n} C_{2y}^2 - \left( \frac{1}{n} - \frac{1}{n'} \right) K_{yx}^2 C_x^2 \right] \tag{10}$$

which is the same as the variance of the linear regression estimator  $\bar{y}_{dR} = \bar{y}^* + b(\bar{x}' - \bar{x})$  in two phase sampling, where  $b$  is the sample regression coefficient of  $y$  on  $x$ .

**3.1. Remarks:**

1. When  $\alpha_1 = 0$ , the proposed class of estimators reduces to dual to ratio estimator in double sampling as

$$(\bar{y}_{dR}^{d*})_I = \bar{y}^* \frac{n'\bar{x}' - n\bar{x}}{(n' - n)\bar{x}}$$

The bias and MSE of  $(\bar{y}_{dR}^{d*})_I$  can be obtained by putting

$\alpha_1 = 0$  in (6) and (7) respectively as

$$B(\bar{y}_{dR}^{d*})_I = -\bar{Y} \left( \frac{1}{n} - \frac{1}{n'} \right) h K_{yx} C_x^2$$

and

$$MSE(\bar{y}_{dR}^{d*})_I = \left[ \left( \frac{1}{n} - \frac{1}{N} \right) S_y^2 + \frac{W_2(k-1)}{n} S_{2y}^2 + \left( \frac{1}{n} - \frac{1}{n'} \right) h^2 R^2 S_x^2 \left( 1 - \frac{2}{h} K_{yx} \right) \right] \tag{11}$$

2. When  $\alpha_1 = 1$ , the proposed class of estimators reduces to ratio estimator in double sampling as

$$(\bar{y}_R^{d*})_I = \bar{y}^* \frac{\bar{x}'}{\bar{x}}$$

The bias and MSE of  $(\bar{y}_R^{d*})_I$  can be obtain by putting

$\alpha_1 = 1$  in (6) and (7) respectively as

$$B(\bar{y}_R^{d*})_I = \bar{Y} \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 (1 - K_{yx})$$

and

$$MSE(\bar{y}_R^{d*})_I = \left[ \left( \frac{1}{n} - \frac{1}{N} \right) S_y^2 + \frac{W_2(k-1)}{n} S_{2y}^2 + \left( \frac{1}{n} - \frac{1}{n'} \right) R^2 S_x^2 (1 - 2K_{yx}) \right] \tag{12}$$

**4. EFFICIENCY COMPARISONS OF  $(\bar{y}_{RdR}^{d*})_{I(opt)}$**

**IN CASE I**

**4.1. Comparison with the Mean per Unit Estimator**

From equation (1) and (10), we observe that

$$V(\bar{y}^*) - M(\bar{y}_{RdR}^{d*})_{I(opt)} = \left( \frac{1}{n} - \frac{1}{n'} \right) R^2 S_x^2 K_{yx}^2 > 0. \tag{13}$$

**4.2. Comparison with the Usual Ratio Estimator in Double Sampling**

From (12) and (10), we observe that

$$MSE(\bar{y}_R^{d*})_I - MSE(\bar{y}_{RdR}^{d*})_{I(opt)} = \left[ \left( \frac{1}{n} - \frac{1}{n'} \right) R^2 S_x^2 (1 - K_{yx})^2 \right] > 0 \tag{14}$$

**4.3. Comparison with the Dual to Ratio Estimator in Double Sampling**

From (11) and (10), we observe that

$$MSE(\bar{y}_{dR}^{d*})_I - M(\bar{y}_{RdR}^{d*})_{I(opt)} = \left( \frac{1}{n} - \frac{1}{n'} \right) R^2 h^2 S_x^2 \left( 1 - \frac{1}{h} K_{yx} \right)^2 > 0 \tag{15}$$

**5. CASE II: NON-RESPONSE ON BOTH y AND x**

In this case, the suggested estimator is given as follows

$$\left(\bar{y}_{RdR}\right)_{II} = \bar{y}^* \left[ \alpha_2 \frac{\bar{x}'}{\bar{x}^*} + (1-\alpha_2) \frac{n'\bar{x}' - n\bar{x}^*}{(n' - n)\bar{x}'} \right] \tag{16}$$

where  $\alpha_2$  is a scalar constant.

In this case, we have

Where  $E(e_0^*) = E(e_1^*) = E(e_2) = 0$

$$E(e_0^{*2}) = \left(\frac{1}{n} - \frac{1}{N}\right) C_y^2 + \frac{W_2(k-1)}{n} C_{2y}^2,$$

$$E(e_1^{*2}) = \left(\frac{1}{n} - \frac{1}{N}\right) C_x^2 + \frac{W_2(k-1)}{n} C_{2x}^2,$$

$$E(e_2^2) = \left(\frac{1}{n'} - \frac{1}{N}\right) C_x^2,$$

$$E(e_0^* e_1^*) = \left(\frac{1}{n} - \frac{1}{N}\right) C_x^2 K_{yx} + \frac{W_2(k-1)}{n} C_{2x}^2 K_{2yx}$$

$$E(e_0^* e_2) = \left(\frac{1}{n'} - \frac{1}{N}\right) C_x^2 K_{yx},$$

$$E(e_1^* e_2) = \left(\frac{1}{n'} - \frac{1}{N}\right) C_x^2$$

where  $e_1^* = (\bar{x}^* - \bar{X}) / \bar{X}$  (17)

Replacing  $e_1$  by  $e_1^*$  and taking expectations in (4) and using the results of (17), we get the bias of  $(\bar{y}_{RdR})_{II}$  to the first degree of approximation as

$$B(\bar{y}_{RdR})_{II} = \bar{Y} \left[ -h \left\{ \left(\frac{1}{n} - \frac{1}{n'}\right) K_{yx} C_x^2 + \lambda' K_{2yx} C_{2x}^2 \right\} + \alpha_2 \left\{ \left(\frac{1}{n} - \frac{1}{n'}\right) C_x^2 + \lambda' C_{2x}^2 \right\} \right] \tag{18}$$

Replacing  $e_1$  by  $e_1^*$ , squaring and taking expectations on both the sides of (4) and using the results of (17), we

obtain the MSE of  $(\bar{y}_{RdR})_{II}^{d**}$  to the first degree of approximation as

$$MSE(\bar{y}_{RdR})_{II}^{d**} = \left[ C + h^2 R^2 A + (1-h)^2 \alpha_2^2 R^2 A - 2hRB - 2\alpha_2(1-h)RB + 2\alpha_2 h(1-h)R^2 A \right] \tag{19}$$

Wh

ere  $R = \frac{\bar{Y}}{\bar{X}}$

$$A = \left(\frac{1}{n} - \frac{1}{n'}\right) S_x^2 + \frac{W_2(k-1)}{n} S_{2x}^2$$

$$B = \left(\frac{1}{n} - \frac{1}{n'}\right) S_{xy} + \frac{W_2(k-1)}{n} S_{2xy}$$

$$C = \left(\frac{1}{n} - \frac{1}{N}\right) S_y^2 + \frac{W_2(k-1)}{n} S_{2y}^2$$

Minimizing (19) with respect to  $\alpha_2$  yields its optimum value

$$\alpha_2 = \frac{B}{(1-h)A} - \frac{h}{1-h} = \alpha_{2(opt)} \quad (say) \tag{20}$$

Substituting the value of  $\alpha_2$  form (20) in (3) gives the asymptotically optimum estimator (AOE) as

$$\left(\bar{y}_{RdR}\right)_{II(opt)} = \bar{y}^* \left[ \alpha_{2(opt)} \frac{\bar{x}'}{x} + (1-\alpha_{2(opt)}) \frac{n'\bar{x}' - n\bar{x}^*}{(n' - n)\bar{x}'} \right] \tag{21}$$

Therefore, the resulting bias and MSE of  $(\bar{y}_{RdR})_{II(opt)}$  are

$$B(\bar{y}_{RdR})_{II(opt)} = \left[ \frac{R^2(B-hA)}{(1-g)} - \frac{RB^2}{A} \right]$$

and

$$MSE(\bar{y}_{RdR})_{II(opt)} = \left[ C - \frac{B^2}{A} \right] \tag{22}$$

**5.1. Remarks:**

1. When  $\alpha_2 = 0$ , the proposed class of estimators reduces to dual to ratio estimator in double sampling as

$$\left(\bar{y}_{dR}^{d**}\right)_{II} = \bar{y}^* \frac{n'\bar{x}' - n\bar{x}^*}{(n' - n)\bar{x}'}$$

The bias and MSE of  $\left(\bar{y}_{dR}^{d**}\right)_{II}$  can be obtained by putting  $\alpha_2 = 0$  in (18) and (19) respectively as

$$B\left(\bar{y}_{dR}^{d**}\right)_{II} = \bar{Y} \left[ -h \left\{ \left( \frac{1}{n} - \frac{1}{n'} \right) K_{yx} C_x^2 + \lambda' K_{2yx} C_{2x}^2 \right\} \right]$$

and  $MSE\left(\bar{y}_{dR}^{d**}\right)_{II} = C + h^2 R^2 A - 2hRB$ .

(23)

2. When  $\alpha_2 = 1$ , the proposed class of estimators reduces to ratio estimator in double sampling as

$$\left(\bar{y}_R^{d**}\right)_{II} = \bar{y}^* \frac{\bar{x}'}{\bar{x}^*}$$

The bias and MSE of  $\left(\bar{y}_R^{d**}\right)_{II}$  can be obtained by putting  $\alpha_2 = 1$  in (18) and (19) respectively as

$$B\left(\bar{y}_R^{d**}\right)_{II} = \bar{Y} \left\{ \left( \frac{1}{n} - \frac{1}{n'} \right) C_x^2 + \lambda' C_{2x}^2 \right\}$$

$$- \left\{ \left( \frac{1}{n} - \frac{1}{n'} \right) K_{yx} C_x^2 + \lambda' K_{2yx} C_{2x}^2 \right\}$$

and  $MSE\left(\bar{y}_R^{d**}\right)_{II} = [C + R^2 A - 2RB]$

(24)

**6. EFFICIENCY COMPARISON OF**

**$\left(\bar{y}_{RdR}^{d**}\right)_{II(opt)}$  IN CASE II**

**6.1 Comparison with the Mean per Unit Estimator**

From equation (1) and (22), we observe that

$$V\left(\bar{y}^*\right) - M\left(\bar{y}_{RdR}^{d**}\right)_{II(opt)} = \frac{B^2}{A} > 0. \tag{25}$$

**6.2. Comparison with the Usual Ratio Estimator in Double Sampling**

From (24) and (22), we observe that

$$M\left(\bar{y}_R^{d**}\right)_{II} - M\left(\bar{y}_{RdR}^{d**}\right)_{II(opt)} = \frac{(RA - B)^2}{A} > 0 \tag{26}$$

**6.3. Comparison with the Dual to Ratio Estimator in Double Sampling**

From (23) and (22), we observe that

$$MSE\left(\bar{y}_{dR}^{d**}\right)_{II} - M\left(\bar{y}_{RdR}^{d**}\right)_{II(opt)} = \frac{(hRA - B)^2}{A} > 0 \tag{27}$$

**7. EMPIRICAL STUDY**

To illustrate the properties of the proposed estimator of the population mean  $\bar{Y}$ , we consider a real data set considered by Khare (1993). The description of the sample is given below.

The sample of 100 consecutive trips (after omitting 20 outlier's values) measured by two fuel meters for a small family car in normal usage given by Lewis et.al.(1991) has been taken into consideration. The measurement of tribune meter (in ml) is considered as main character  $y$  and the measurement of displacement meter (in  $cm^3$ ) is considered as auxiliary character  $x$ . We treat the last 25% values as non-response values. The values of the parameters are as follows:

$$\begin{aligned} \bar{Y} &= 3500.12, \bar{X} = 260.84, \\ S_y &= 2079.30, S_x = 156.40, \\ \bar{Y}_2 &= 3401.08, \bar{X}_2 = 259.96, \\ S_{2y} &= 1726.02, S_{2x} = 134.36, \\ \rho_{yx} &= 0.985, \rho_{2yx} = 0.995, N = 100, \\ n' &= 50, n = 30. \end{aligned}$$

Here, we have computed the percent relative efficiencies (PRE) of different estimators w.r.t the usual unbiased estimator  $\bar{y}^*$  for different values of  $k$ .

**Table 1.** PRE of the different estimators  $(\bar{y}_R^{d*})_I, (\bar{y}_{dR}^{d*})_I$  and  $(\bar{y}_{RdR}^{d*})_{I(opt)}$  with respect to  $\bar{y}^*$

$W_2$	$k$	$\bar{y}^*$	$(\bar{y}_R^{d*})_I$	$(\bar{y}_{dR}^{d*})_I$	$(\bar{y}_{RdR}^{d*})_{I(opt)}$
0.1	1.5	100	211.41	160.06	212.06
	2.0	100	192.29	148.86	192.84
	2.5	100	176.35	139.12	176.81
	3.0	100	162.92	130.63	163.31
0.2	1.5	100	192.29	148.86	192.84
	2.0	100	162.92	130.63	163.31
	2.5	100	141.27	116.34	141.56
	3.0	100	124.75	106.73	124.98
0.3	1.5	100	176.35	139.12	176.81
	2.0	100	141.27	116.34	141.56
	2.5	100	117.84	100.00	118.04
	3.0	100	101.07	87.63	101.22

**8. CONCLUSION**

Table 1 suggests that the proposed estimator  $(\bar{y}_{RdR}^{d*})_{I(opt)}$  is performing much better than the usual unbiased estimator  $\bar{y}^*$  and the estimator  $(\bar{y}_{dR}^{d*})_I$  and slightly better than  $(\bar{y}_R^{d*})_I$  in its optimality when there is non-response on  $y$ . Also, when there is non-response on both  $y$  and  $X$ , Table 2 shows the same pattern for the proposed estimator  $(\bar{y}_{RdR}^{d**})_{II(opt)}$  in case of the usual unbiased estimator  $\bar{y}^*$  and estimators belonging to its class. It is also observed from the tables that the efficiency of the suggested estimator decreases with the increase in rate of non-response.

**CONFLICT OF INTEREST**

No conflict of interest was declared by the authors.

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**Table 2.** PRE of the different estimators  $(\bar{y}_R^{d**})_{II}, (\bar{y}_{dR}^{d**})_{II}$  and  $(\bar{y}_{RdR}^{d**})_{II(opt)}$  with respect to  $\bar{y}^*$

$W_2$	$k$	$\bar{y}^*$	$(\bar{y}_R^{d**})_{II}$	$(\bar{y}_{dR}^{d**})_{II}$	$(\bar{y}_{RdR}^{d**})_{II(opt)}$
0.1	1.5	100	234.31	168.99	235.05
	2.0	100	234.04	165.14	234.78
	2.5	100	233.50	161.46	234.51
	3.0	100	233.50	157.96	234.24
0.2	1.5	100	234.04	165.14	234.78
	2.0	100	233.50	157.96	234.24
	2.5	100	232.97	151.36	233.72
	3.0	100	232.43	145.30	233.19
0.3	1.5	100	233.77	161.46	234.51
	2.0	100	232.97	151.36	233.72
	2.5	100	232.17	142.44	232.94
	3.0	100	231.37	134.52	232.16

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